

# Analyzing deep neural networks with persistent homology

Thomas Gebhart  
University of Minnesota



UNIVERSITY OF MINNESOTA

# Neural Networks

Large number of parameters

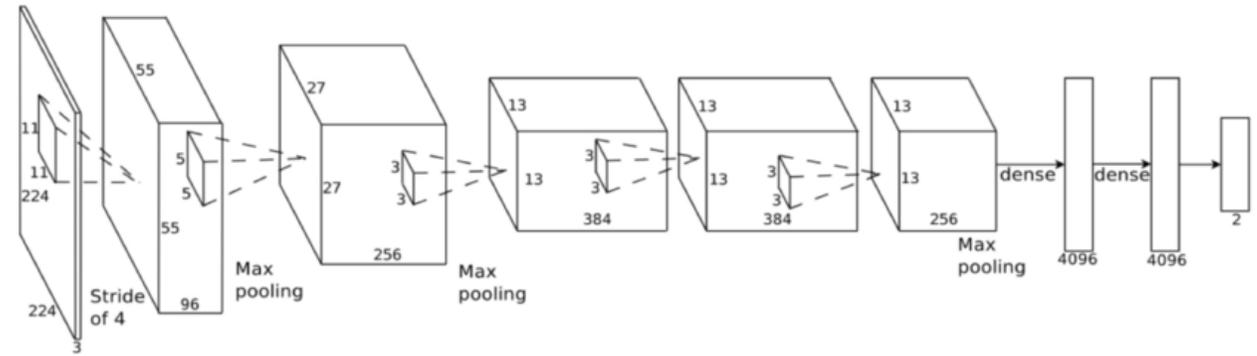
+

Nonlinearities via activation functions

+

Layer-wise functional components

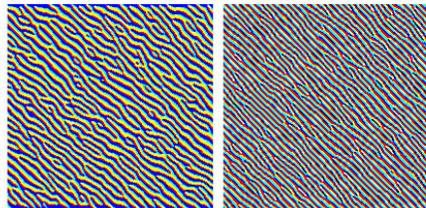
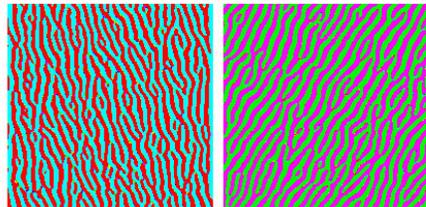
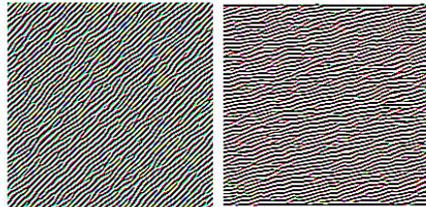
Difficult to interpret



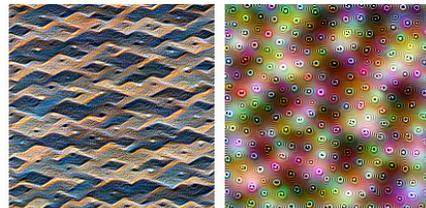
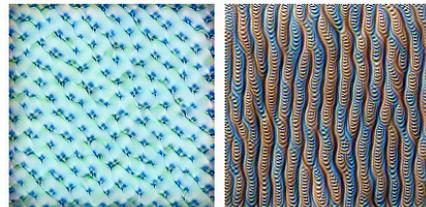
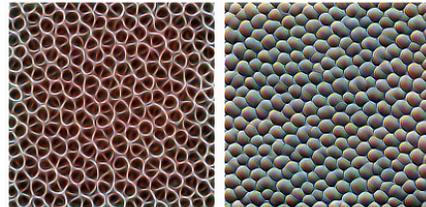
Layer Name	Tensor Size	Weights	Biases	Parameters
Input Image	227x227x3	0	0	0
Conv-1	55x55x96	34,848	96	34,944
MaxPool-1	27x27x96	0	0	0
Conv-2	27x27x256	614,400	256	614,656
MaxPool-2	13x13x256	0	0	0
Conv-3	13x13x384	884,736	384	885,120
Conv-4	13x13x384	1,327,104	384	1,327,488
Conv-5	13x13x256	884,736	256	884,992
MaxPool-3	6x6x256	0	0	0
FC-1	4096x1	37,748,736	4,096	37,752,832
FC-2	4096x1	16,777,216	4,096	16,781,312
FC-3	1000x1	4,096,000	1,000	4,097,000
Output	1000x1	0	0	0
<b>Total</b>				<b><u>62,378,344</u></b>

# Non-local Representations

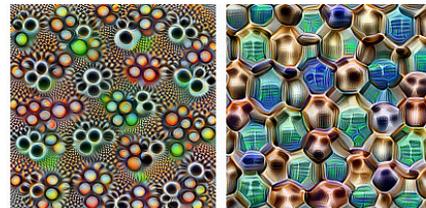
Layer Depth



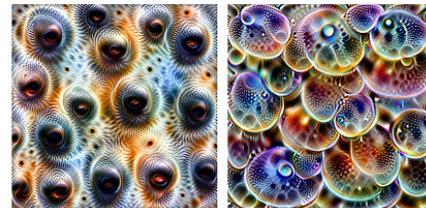
**Edges** (layer conv2d0)



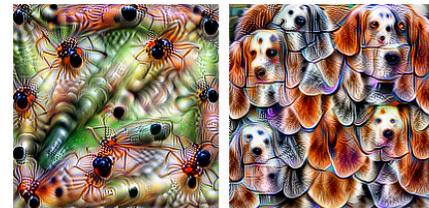
**Textures** (layer mixed3a)



**Patterns** (layer mixed4a)



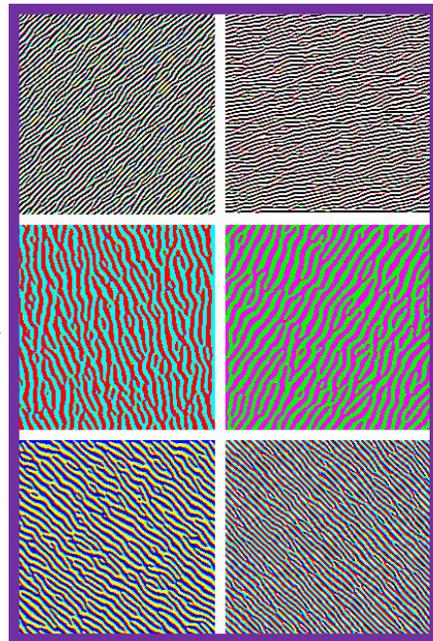
**Parts** (layers mixed4b & mixed4c)



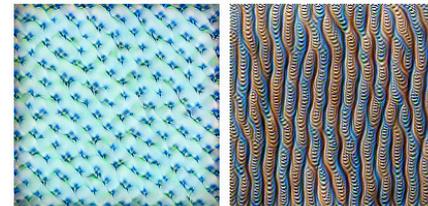
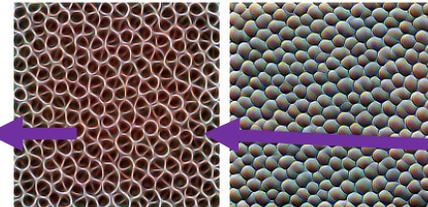
**Objects** (layers mixed4d & mixed4e)

# Non-local Representations

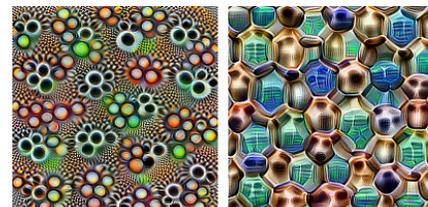
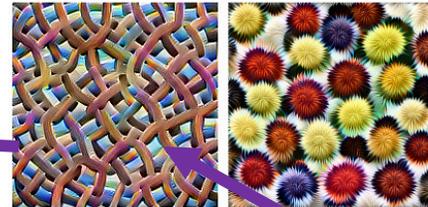
Layer Depth



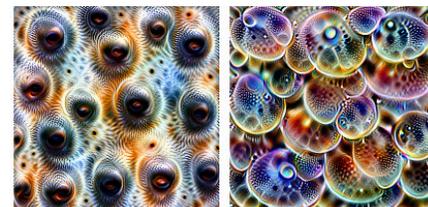
**Edges** (layer conv2d0)



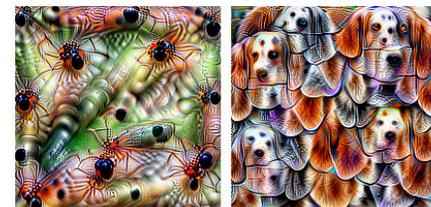
**Textures** (layer mixed3a)



**Patterns** (layer mixed4a)

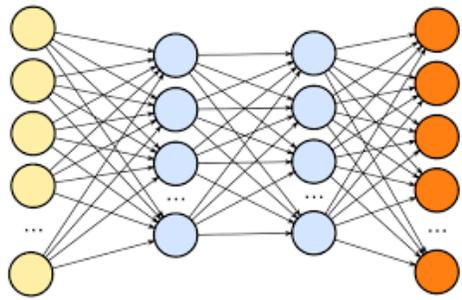


**Parts** (layers mixed4b & mixed4c)

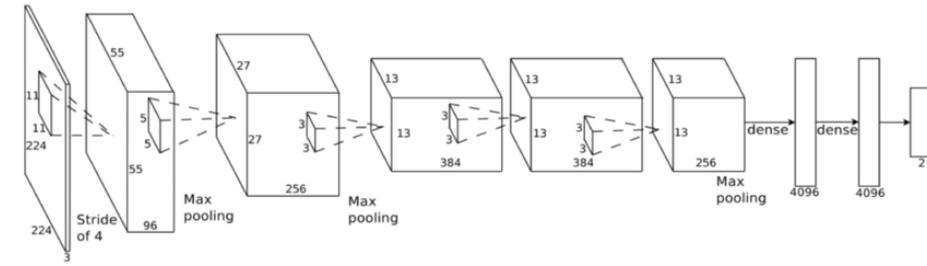


**Objects** (layers mixed4d & mixed4e)

Representations Distributed Layer-wise



Network Structure

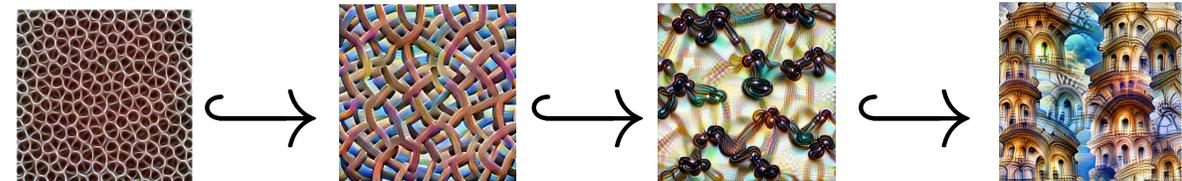
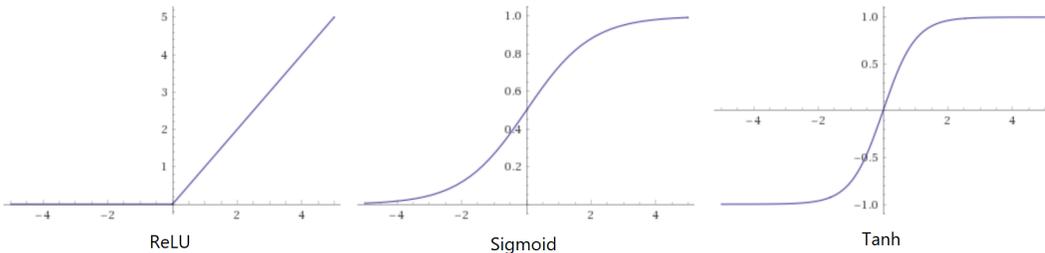


High dimensionality and multiple scales

# Persistent Homology

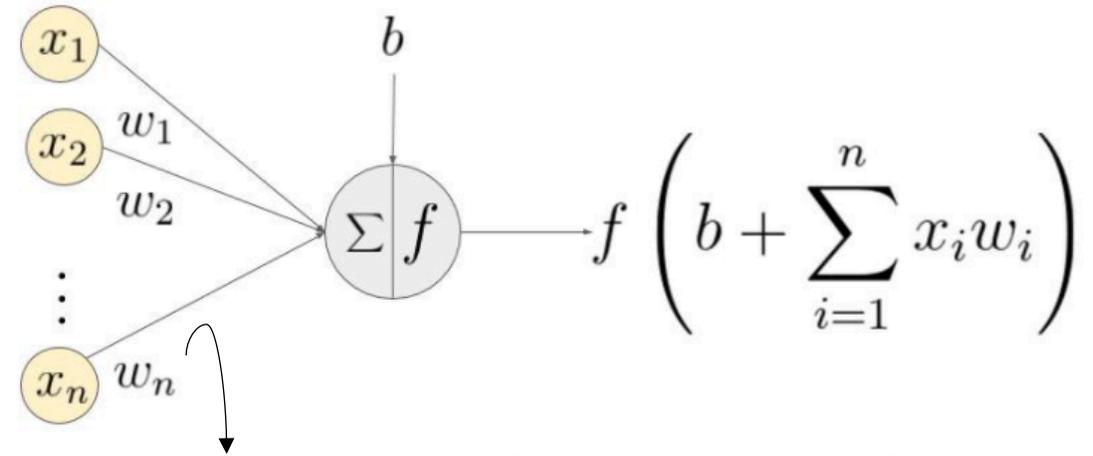
Nonlinearities

Global representations with inclusion



# Neural Networks as Graphs

- Two Views:
  - *Static Network*
    - Gabella et al.
    - Rieck et al.
  - *Induced Network*
    - This talk
- Connectivity Types:
  - Fully Connected
  - Convolutional
  - Pooling



$$\phi(u, v) = |w_{u \rightarrow v} h_u|$$

$$\begin{bmatrix} x_1 & x_2 & \dots & x_n \end{bmatrix}$$

Activations layer  $l$

$$\mathbf{h}_l$$

Neuron $v_1$		Neuron $v_n$
$w_{1,1}$	$\dots$	$w_{1,n}$
$w_{2,1}$	$\cdot$	$\cdot$
$\vdots$	$\cdot$	$\cdot$
$w_{n,1}$	$\dots$	$w_{n,n}$

Parameters layer  $l + 1$

$$\mathbf{W}_l$$

# Big Picture

Let  $G^{\mathcal{I}} = (V, E, \phi)$  be a network's graphical representation induced by input  $\mathcal{I}$

$V = V_0 \sqcup V_1 \sqcup \cdots \sqcup V_{L-1}$  where  $u \in V_k, v \in V_l$  and  $(u, v) \in E$  only if  $k = l - 1$

The edge weighting for edge  $(u, v) \in E$  given by  $\phi(u, v) = |w_{u \rightarrow v} h_u|$  defines the filtration:

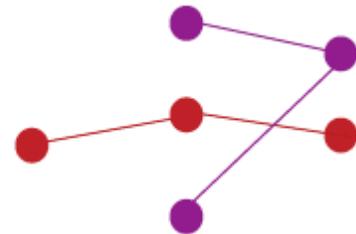
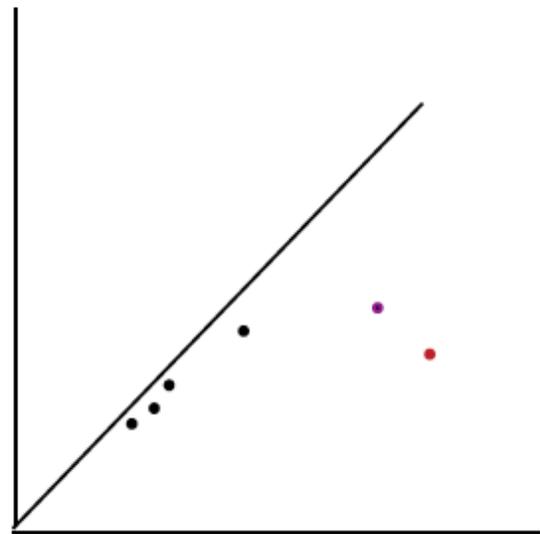
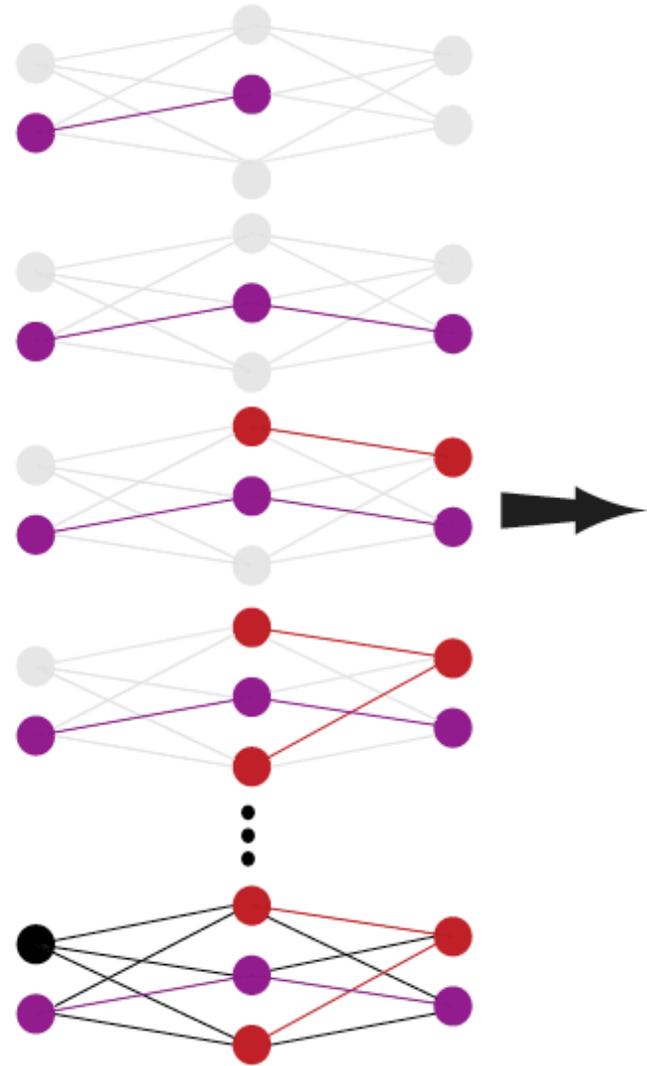
$$\emptyset \subset G_0^{\mathcal{I}} \subset G_1^{\mathcal{I}} \subset \cdots \subset G_N^{\mathcal{I}} = G^{\mathcal{I}}$$

# Big Picture

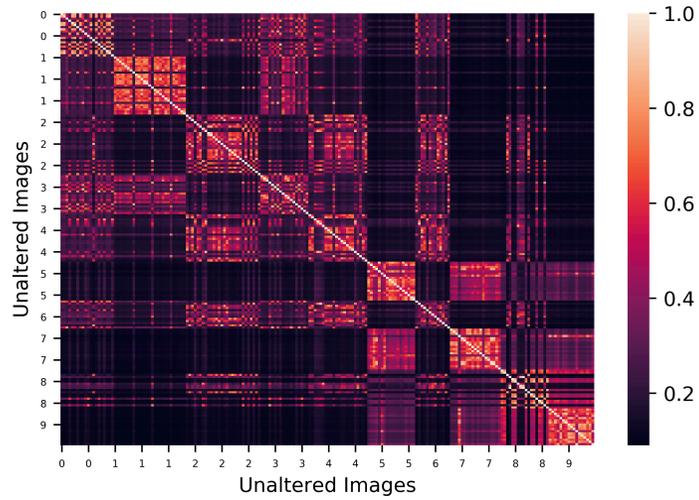
The persistent structure of this network filtration relates to semantic information about the input. We hypothesize:

$$\begin{array}{ccccccc} 0 & \longrightarrow & H_0(G_0^{\mathcal{I}}) & \longrightarrow & H_0(G_1^{\mathcal{I}}) & \longrightarrow & \cdots \longrightarrow H_0(G_{N-1}^{\mathcal{I}}) & \longrightarrow & H_0(G_N^{\mathcal{I}}) \\ & & \downarrow & & \downarrow & & & & \downarrow \\ 0 & \longrightarrow & \mathcal{I}_0 & \longrightarrow & \mathcal{I}_1 & \longrightarrow & \cdots \longrightarrow & \mathcal{I}_{N-1} & \longrightarrow & \mathcal{I}_N \end{array}$$

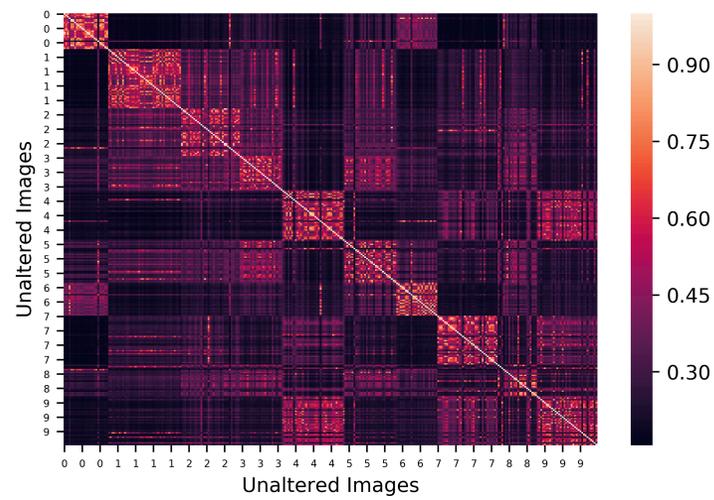
For some decomposition  $\emptyset \subset \mathcal{I}_0 \subset \mathcal{I}_1 \subset \cdots \subset \mathcal{I}_N = \mathcal{I}$  of input  $\mathcal{I} \in \mathbb{R}^n$



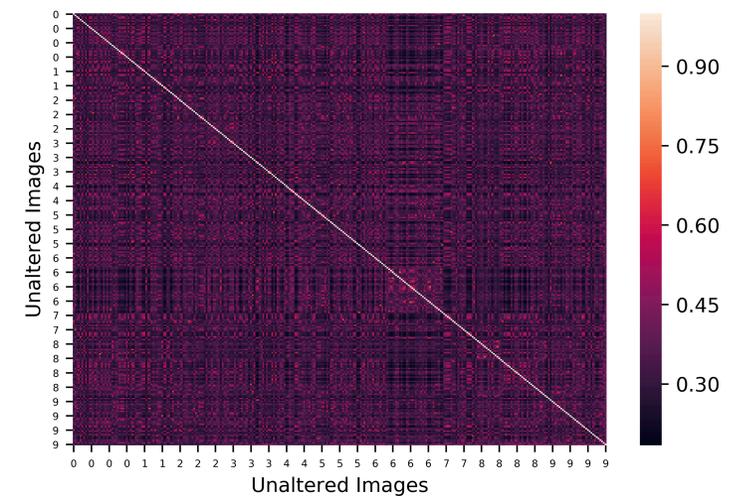
# Homology generator similarity mirrors image space similarity



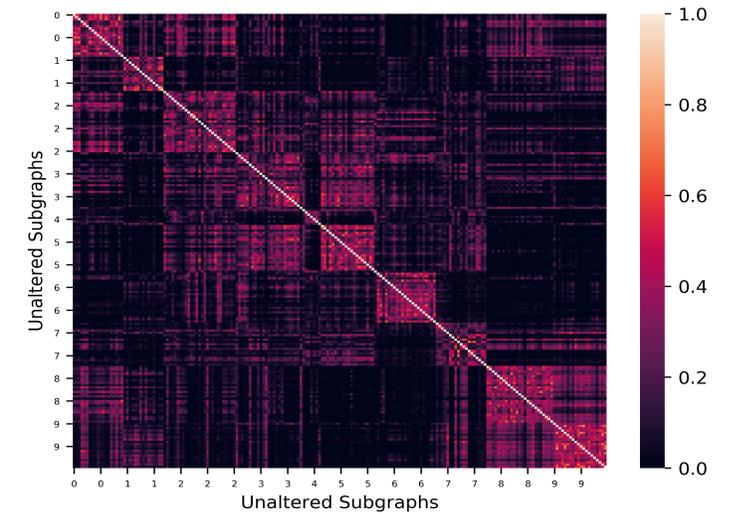
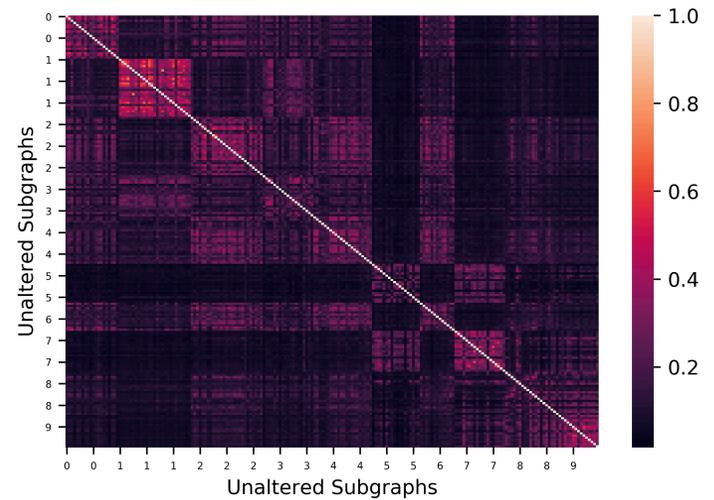
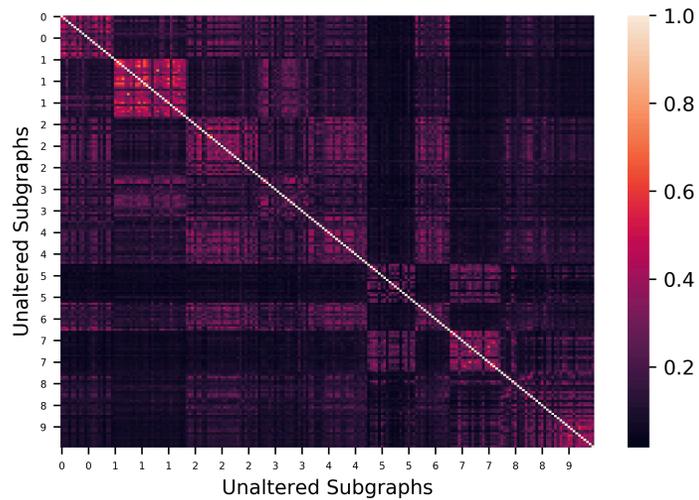
MNIST



FashionMNIST



CIFAR10



Persistent subgraph structure is highly predictive for classification of input

Network	Subgraph SVM Accuracy	Network Accuracy	Recovery Accuracy
CCFF-Relu	89.3%	97.6%	70.3%
CCFF-Sigmoid	89.1%	88.8%	83.4%
CCFF-Relu	89.3%	90.0%	80.3%
CCFF-Sigmoid	85.3%	84.7%	79.3%



Task-relevant information is retained by the generators

# Future Work

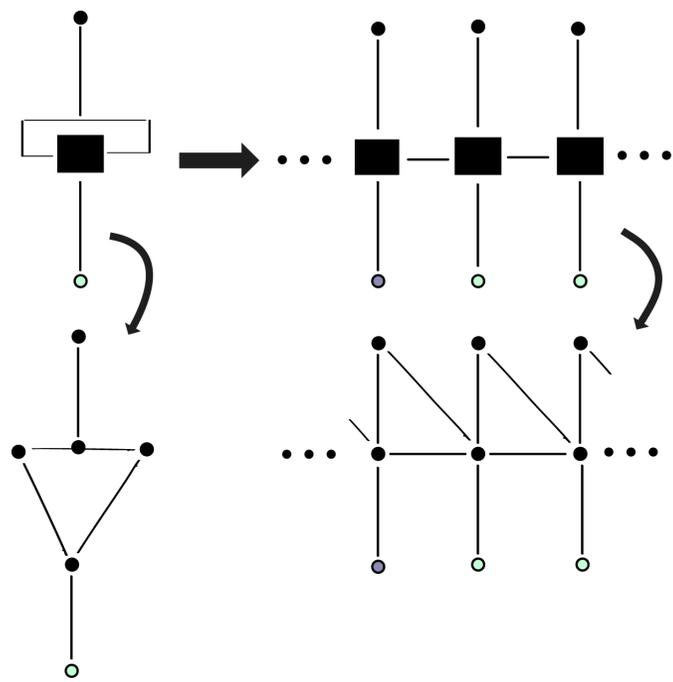
Future Work

More Math

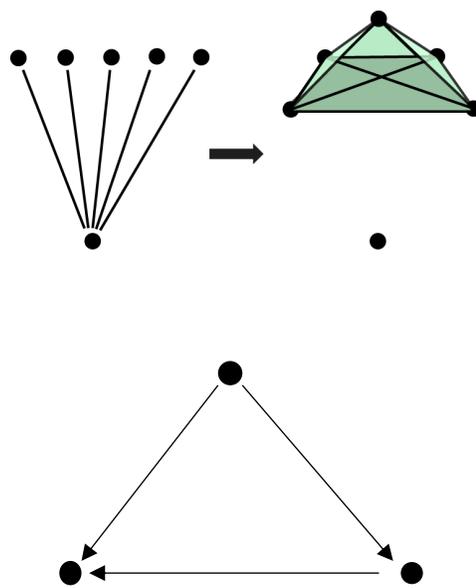
$$0 \longrightarrow H_0(G_0^{\mathcal{I}}) \longrightarrow H_0(G_1^{\mathcal{I}}) \longrightarrow \dots \longrightarrow H_0(G_{N-1}^{\mathcal{I}}) \longrightarrow H_0(G_N^{\mathcal{I}})$$

$$0 \longrightarrow \mathcal{I}_0 \longrightarrow \mathcal{I}_1 \longrightarrow \dots \longrightarrow \mathcal{I}_{N-1} \longrightarrow \mathcal{I}_N$$

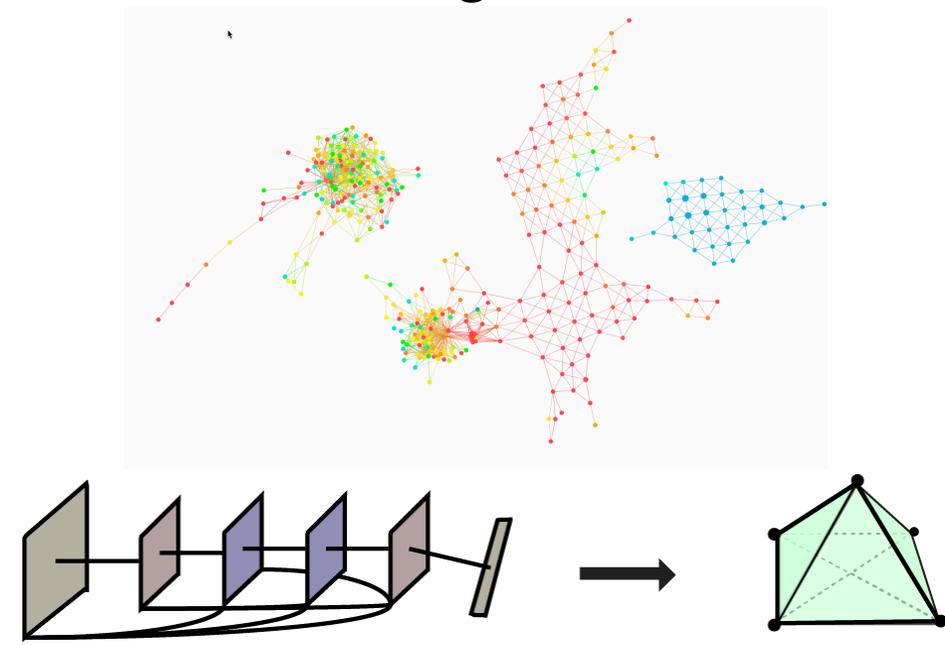
Different architectures



New complex constructions



Structure-preserving scaling



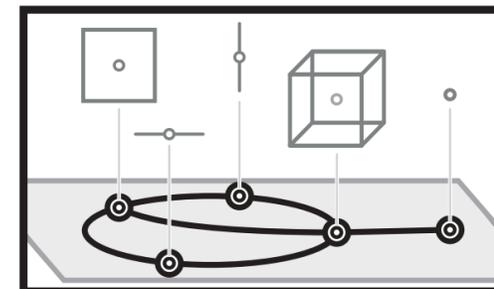
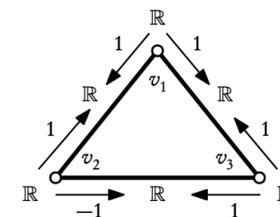
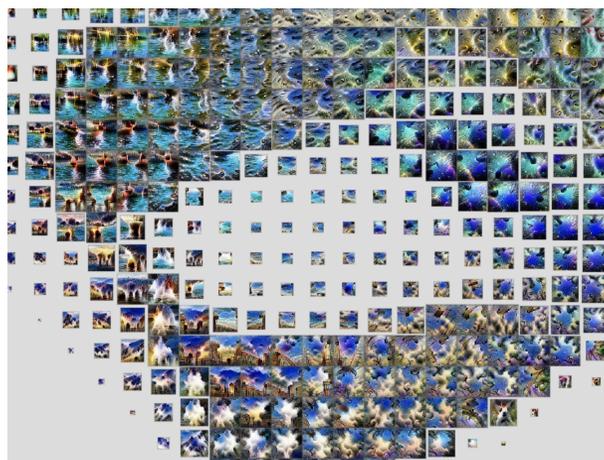
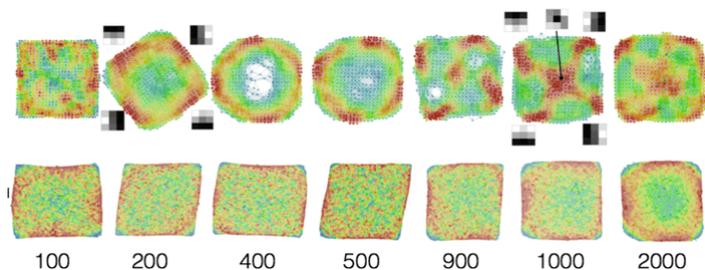
$$\begin{array}{ccccccc}
 0 & \longrightarrow & H_0(G_0^{\mathcal{I}}) & \longrightarrow & H_0(G_1^{\mathcal{I}}) & \longrightarrow & \dots & \longrightarrow & H_0(G_{N-1}^{\mathcal{I}}) & \longrightarrow & H_0(G_N^{\mathcal{I}}) \\
 & & \downarrow & & \downarrow & & & & \downarrow & & \\
 0 & \longrightarrow & \mathcal{I}_0 & \longrightarrow & \mathcal{I}_1 & \longrightarrow & \dots & \longrightarrow & \mathcal{I}_{N-1} & \longrightarrow & \mathcal{I}_N
 \end{array}$$

Input space  
homology

Visualization &  
Regularization

Sheaf-like  
constructions

$$H_p(\mathcal{I})$$



# More Info

Adversarial Examples Target Topological Holes in Deep Networks T Gebhart, P Schrater - *arXiv preprint arXiv:1901.09496*, 2019

Email: [gebhart@umn.edu](mailto:gebhart@umn.edu)

# References

- Maxime Gabella, Nitya Afambo, Stefania Ebli, and Gard Spreemann. Topology of learning in artificial neural networks. *arXiv preprint arXiv:1902.08160*, 2019.
- Bastian Rieck, Matteo Togninalli, Christian Bock, Michael Moor, Max Horn, Thomas Gumbsch, and Karsten Borgwardt. Neural persistence: A complexity measure for deep neural networks using algebraic topology. *arXiv preprint arXiv:1812.09764*, 2018.
- Ghrist, Robert W. *Elementary applied topology*. Vol. 1. Seattle: Createspace, 2014.
- Carter, et al., "Activation Atlas", *Distill*, 2019.